



PTO/SB/21 (08-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/616,380	
	Filing Date	July 2, 2003	
	First Named Inventor	G. F. Hutter	
	Art Unit	1713	
	Examiner Name		
Total Number of Pages in This Submission	16	Attorney Docket Number	SCD 02-03

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): PTO 1449 and copies of references Certificate of Mailing One Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daniel B. Reece IV	Registration No. 33,998
Signature		
Date	December 5, 2003	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Daniel B. Reece IV		
Signature		Date	December 5, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Case Docket No. SCD 02-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: G. Frederick Hutter

Serial No.: 10/616,380

Group Art Unit: 1713

Filed: July 9, 2003

For: **Carboxyester-Modified Vinylc Polymeric Compositions**

Examiner:

Customer Number: 36876

Honorable Commissioner of Patents

P. O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant submits herewith copies of publication that the Office may wish to consider in examination of the subject application.

U.S. PATENT NO.

6,503,971

PATENTEE

Shah et al.

ISSUE DATE

01/07/03

OTHER DOCUMENTS

Japanese Publication No. JP 2000 159867, (Nobuhito, T et al.), Resin for Printing Ink. Publication date June 13, 2000.

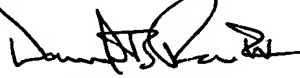
Copies of these references are submitted herewith along with form PTO-1449.

These references are cited as being representative of the state of the art in this area.

This citation does not constitute an admission that the references are relevant or material to the claims; they are cited only as constituting the closest art of which the applicant is aware.

Attachments

Respectfully Submitted,



Daniel B. Reece IV
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Dated: December 5, 2003
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